# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

e application of:

**YAMAMOTO** 

Appln. No.:

09/450,351

Art Unit:

2812

Filed:

APR 1 6 2001

November 29, 1999

Examiner:

TSAI, H.

For:

METHOD OF MANUFACTURING A SEMICONDUCTOR DEVICE

Docket No.:

SHM-00901

**Certificate of Mailing** 

I hereby certify that the foregoing documents are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents Washington, D.C. 20231 on this date of April 11, 2001.

Name: Trace A. Newell

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants and/or their attorney in compliance with the requirements of 37 CFR 1.56. Copies of the documents are also being submitted.

The references submitted herewith were cited by the Japanese Patent Office in a January 23, 2001 Notice of Opposition and in a February 16, 2001 Notice of Reason for Revocation for a counterpart application not more than three months prior to filing this Information Disclosure Statement (IDS). Since this IDS is being filed after a Final Office Action has been mailed, then, under 37 CFR 1.97, a petition and a check for \$180.00 is being submitted herewith.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35

180,00 OP

U.S. Control of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were cited. A copy of the official action is enclosed. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application corresponding to the above-captioned U.S. patent application. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

The Examiner is respectfully requested to initial the space adjacent to each document on the PTO-1449 form and return a copy of the PTO-1449 form to confirm that these documents have been considered by the Examiner and made of record in this application.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Account No. 501136**. Two originally-executed copies of this form are being submitted.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 951-6676.

Date: April 11, 2001

Patent Group Hutchins, Wheeler & Dittmar 101 Federal Street Boston, MA 02110-1804 Respectfully submi

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Donald W. Muirhead Registration No. 33,978

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Name: Tracey A. Newell

# <u>PETITION UNDER 37 CFR 1.97(d)(2) FOR CONSIDERATION OF</u> <u>INFORMATION DISCLOSURE STATEMENT</u>

Commissioner for Patents Washington, D.C. 20231

Sir:

Applicant respectfully requests consideration of the Information Disclosure Statement (IDS) filed herewith. In accordance with 37 CFR 1.97, each item of information contained in this information disclosure statement was cited in a January 23, 2001 communication from the Japanese Patent Office (copy enclosed) in a counterpart foreign application not more than three months prior to filing this IDS. Also, enclosed is a check for \$180, as set forth in 37 CFR 1.97(d)(2).

Should there be any questions after reviewing this paper, the Examiner is invited to contact the

undersigned at (617) 951-6676.

Date: April 11, 2001

Patent Group Hutchins, Wheeler & Dittmar 101 Federal Street Boston, MA 02110-1804 Respectfully submitted,

Dorald W. Muirhead

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PATENT TRADEMARK OFFICE